

Broadridge Financial Solutions, Inc.
Investor Communication Solutions
Key Statistics
(volume and \$ in millions)

Legend:

<u>Type</u>		
RC	=	Recurring- Low Volatility
ED	=	Event-Driven- High Volatility

		3Q07	3Q08	Type
Proxy	Equities	\$ 47.5	\$ 42.9	RC
	<i>Stock Record Position Growth</i>	-0.9%	-0.1%	
	<i>Pieces</i>	25.3	23.8	
	Mutual Funds	\$ 31.1	\$ 29.4	ED
	<i>Pieces</i>	20.8	35.8	
Contests/Specials		\$ 15.0	\$ 3.5	ED
	<i>Pieces</i>	7.0	2.1	
Total Proxy		<u>\$ 93.6</u>	<u>\$ 75.8</u>	
	<i>Total Pieces</i>	53.1	61.7	
Interims	Mutual Funds (Annual/Semi-Annual Reports/Annual Prospectuses)	\$ 54.6	\$ 60.9	RC
	<i>Position Growth</i>	7.1%	8.8%	
	<i>Pieces</i>	100.3	112.7	
	Mutual Funds (Supplemental Prospectuses) & Other	\$ 41.6	\$ 64.7	ED
	<i>Pieces</i>	88.8	106.3	
Total Interims		<u>\$ 96.2</u>	<u>\$ 125.6</u>	
	<i>Total Pieces</i>	189.1	219.0	
Transaction Reporting	Transaction Reporting	\$ 85.0	\$ 80.7	RC
Fulfillment	Post-Sale Fulfillment	\$ 32.4	\$ 35.7	RC
	Pre-Sale Fulfillment	\$ 13.0	\$ 12.7	ED
	Total Fulfillment	<u>\$ 45.4</u>	<u>\$ 48.4</u>	
Other Communications	Other (1)	\$ 19.5	\$ 12.4	ED
Total Net Revenue - Non-GAAP (2)		\$ 339.7	\$ 342.9	
Transfer price adjustment		-	-	
Total Net Revenue as reported - GAAP (2)		<u>\$ 339.7</u>	<u>\$ 342.9</u>	
<i>Distribution Fees % of Total Net Revenue- Non-GAAP</i>		54.1%	54.3%	

(1) Other includes pieces of 2.0M for 3Q08 and 4.9M for 3Q07 primarily related to corporate actions.

(2) Revenue numbers include distribution fees.

Management believes that certain Non-GAAP (generally accepted accounting principles) measures, when presented in conjunction with comparable GAAP measures provide investors a more complete understanding of Broadridge's underlying operational results. These Non-GAAP measures are indicators that management uses to provide additional meaningful comparisons between current results and prior reported results, and as a basis for planning and forecasting for future periods. These measures should be considered in addition to, and not a substitute for the measures of financial performance prepared in accordance with GAAP.

Broadridge Financial Solutions, Inc.
Securities Processing Solutions
Key Statistics
(\$ in millions)

Legend:			
<u>Type</u>			
RC	=	Recurring-	Low Volatility
ED	=	Event-Driven-	High Volatility

		<u>3Q07</u>	<u>3Q08</u>	<u>Type</u>
<u>Equity</u>				
Transaction-Based	Equity Trades	\$ 70.3	\$ 65.7	RC
	<i>Trade Volume (Average Trades per Day in '000)</i>	<i>2,440</i>	<i>2,538</i>	
Non-Transaction	Other Equity Services	\$ 46.6	\$ 44.5	RC
	Total Equity	<u>\$ 116.9</u>	<u>\$ 110.2</u>	
<u>Fixed Income</u>				
Transaction-Based	Fixed Income Trades	\$ 11.4	\$ 13.0	RC
	<i>Trade Volume (Average Trades per Day in '000)</i>	<i>206</i>	<i>248</i>	
Non-Transaction	Other Fixed Income Services	\$ 6.5	\$ 5.8	RC
	Total Fixed Income	<u>\$ 17.9</u>	<u>\$ 18.8</u>	
Total Net Revenue - Non-GAAP		\$ 134.8	\$ 129.0	
Transfer price adjustment		-	-	
Total Net Revenue as reported - GAAP		<u>\$ 134.8</u>	<u>\$ 129.0</u>	

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Broadridge Financial Solutions, Inc.
Clearing and Outsourcing Solutions
Key Statistics
(\$ in millions)

Legend:

Type

RC = Recurring- Low Volatility
ED = Event-Driven- High Volatility

		3Q07	3Q08	Type
Clearing	Net Interest Income (1)	\$ 7.7	\$ 6.2	RC
	<i>Average Margin Debits</i>	\$ 689.7	\$ 969.8	
	Clearing Fees/Other	\$ 9.5	\$ 12.1	RC
	<i>Trade Volume (Average Trades per Day in '000)</i>	27.6	47.0	
Outsourcing	Outsourcing	\$ 6.0	\$ 4.6	RC
	<i># of Clients</i>	3	4 (2)	
Total Net Revenue - Non-GAAP		\$ 23.2	\$ 22.9	
Transfer price adjustment		-	-	
Total Net Revenue as reported - GAAP		<u>\$ 23.2</u>	<u>\$ 22.9</u>	

(1) Referred to as "Margin Revenues" in Key Statistics for prior quarters.

(2) Currently record E*Trade and E*Trade Canada as one client. Previously recorded as two separate clients in 1Q08 and 2Q08.

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Broadridge Financial Solutions, Inc.

Investor Communication Solutions Segment - Services Definitions

Services:

Proxy

Equities - Refers to the proxy services we provide in connection with annual stockholder meetings for publicly traded corporate issuers. Annual meetings of public companies include shares held in "street name" (meaning that they are held of record by brokers or banks, which in turn hold the shares on behalf of their clients, the ultimate beneficial owners) and shares held in "registered name" (shares registered directly in the names of their owners).

Mutual Funds - Refers to the proxy services we provide for funds, classes or trusts of an investment company. Open-ended mutual funds are not required to have annual meetings. As a result, mutual fund proxy services provided to open-ended mutual funds are driven by a "triggering event." These triggering events can be a change in directors, fee structures, investment restrictions, or mergers of funds.

Contests - Refers to the proxy services we provide when a separate agenda is put forth by one or more stockholders that is in opposition to the proposals presented by management of the company which is separately distributed and tabulated from the company's proxy materials.

Specials - Refers to the proxy services we provide in connection with stockholder meetings held outside of the normal annual meeting cycle and are primarily driven by special events (e.g., mergers and acquisitions in which the company being acquired is a public company and needs to solicit the approval of its stockholders).

Interims

Mutual Funds (Annual/Semi-Annual Reports/Annual Prospectuses) – Refers to the services we provide investment companies in connection with information they are required by regulation to distribute periodically to their investors. These reports contain pertinent information such as holdings, fund performance, and other required disclosure.

Mutual Funds (Supplemental Prospectuses) – Refers primarily to information required to be provided by mutual funds to supplement information previously provided in an annual mutual fund prospectus (e.g., change in portfolio managers, closing funds or class of shares to investors, or restating or clarifying items in the original prospectus). The events could occur at any time throughout the year.

Other – Refers to communications provided by corporate issuers and investment companies to investors including newsletters, notices, tax information, marketing materials and other information not required to be distributed by regulation.

Transaction Reporting

Transaction Reporting– Refers primarily to the printing and distribution of account statements, trade confirmations and tax reporting documents to account holders, including electronic delivery and archival services.

Fulfillment

Post-Sale Fulfillment – Refers primarily to the distribution of prospectuses, offering documents, and required regulatory disclosure information to investors in connection with purchases of securities.

Pre-Sale Fulfillment – Refers to the distribution of marketing literature, welcome kits, enrollment kits, and investor information to prospective investors, existing stockholders and other targeted recipients on behalf of broker-dealers, mutual fund companies and 401(k) administrators.

Other Communications

Other – Refers to the services we provide in connection with the distribution of communications material not included in the above definitions such as non-objecting beneficial owner (NOBO) lists, and corporate actions such as mergers, acquisitions, and tender offer transactions.